

Superior facet joint violation between open and minimally invasive techniques in lumbar fusion surgery: An updated systematic review and meta-analysis

۲

Po-Chun Liu^a, Yi Lu^a, Hsi-Hsien Lin^{a,b}, Yu-Cheng Yao^{a,b}, Ming-Chau Chang^{a,b}, Shih-Tien Wang^{a,b}, Po-Hsin Chou^{a,b,*}

^aSchool of Medicine, National Yang Ming Chiao Tung University, Taipei, Taiwan, ROC; ^bDepartment of Orthopedics and Traumatology, Taipei Veterans General Hospital, Taipei, Taiwan, ROC

Abstract

Background: Superior facet joint violation (FJV) is a potential risk factor for adjacent segment disease following lumbar fusion surgery. We sought to conduct a systematic review and meta-analysis to compare screw-related superior FJV rates between the open and different minimally invasive (MI) techniques—fluoroscopy-based, 3D-image navigation, and navigation with robotic assistance—in adult lumbar fusion surgery.

Methods: We searched original articles comparing the rates of screw-related FJV between the open and different MI techniques in adult lumbar fusion surgery for lumbar degenerative diseases in PubMed, EMBASE, and the Cochrane Library from inception to September 2021. We compared the numbers of top-level pedicle screws and associated superior FJVs in the main analyses and performed subgroup analysis based on different MI techniques to examine whether individual MI approaches differed in superior FJV rate. Risk ratio (RR) and 95% confidence interval (CI) were calculated in a random-effect meta-analysis.

Results: Included in the meta-analysis were 16 articles with 2655 patients and 4638 top-level pedicle screws. The pooled analysis showed no significant difference in superior FJV rates between the MI and open groups (RR: 0.89, 95% CI: 0.62-1.28). The subgroup analysis demonstrated that the overall rates of superior FJV were 27.1% (411/1518) for fluoroscopy-based, 7.1% (43/603) for 3D-image navigation, and 3.2% (7/216) for navigation with robotic assistance. Compared with the open method, the overall RRs were 1.53 (95% CI: 1.19-1.96) for fluoroscopy-based, 0.41 (95% CI: 0.22-0.75) for 3D-image navigation, and 0.25 (95% CI: 0.08-0.72) for navigation with robotic assistance.

Conclusion: Among the three common MI techniques, fluoroscopy-based can be associated with a higher risk of superior FJV, while both 3D-image navigation and navigation with robotic assistance may be associated with lower risks as compared with the open method. Considering the limitations of the study, more trials are needed to prove these clinical findings.

Keywords: Minimally invasive surgical procedures; Pedicle screws; Spinal fusion; Systematic review; Zygapophyseal joint

1. INTRODUCTION

Lumbar fusion surgery with a pedicle screw-based system has been widely used in recent years to treat lumbar degenerative diseases.¹ Although this technique was traditionally conducted with an open method, the emergence of minimally invasive (MI) techniques has made percutaneous pedicle screws more popular because these techniques have reduced intraoperative blood loss, lessened postoperative pain, and allowed for faster

Received July 5, 2022; accepted July 20, 2022.

doi: 10.1097/JCMA.000000000000788.

Copyright © 2022, the Chinese Medical Association. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/licenses/ by-nc-nd/4.0/) recovery due to reduced soft tissue dissection.²⁻⁴ The three fundamental methods of MI surgery for pedicle screw insertion are as follows: fluoroscopy-based; 3D-image navigation with 3D C-arm, O-arm, or computed tomography (CT) scan guidance; and navigation with robotic assistance.⁵

In vitro biomechanical studies have shown that injury to the facet joint may alter its load-bearing capability and original biomechanics⁶ and subsequently accelerate degeneration of the joint.⁷ Therefore, superior facet joint violation (FJV) has been regarded as one of the causes of adjacent segment degeneration (ASD) after lumbar fusion surgery^{8,9} and reoperation at 2 years and 3 years postoperatively.¹⁰

Accordingly, the consensus is that maintaining integrity of superior facet joints is generally required to avoid ASD regardless of technique (MI or open), and several studies have highlighted the risk of superior FJV associated with different surgical techniques.^{11–27} In a meta-analysis conducted in 2015, Wang et al found similar rates of superior FJV for MI and open methods (MI: 18.2%; open: 18.7%).²⁸ Innovative technologies and techniques have revolutionized MI spine surgery in the past decade.²⁹ However, no existing review articles have investigated the

^{*} Address correspondence. Dr. Po-Hsin Chou, Department of Orthopedics and Traumatology, Taipei Veterans General Hospital, 201, Section 2, Shi-Pai Road, Taipei 112, Taiwan, ROC. E-mail address: choupohsin@gmail.com (P.-H. Chou). Conflicts of interest: The authors declare that they have no conflicts of interest related to the subject matter or materials discussed in this article. Journal of Chinese Medical Association. (2023) 86: 113-121.

Liu et al.

influence of the evolution and diversity of MI techniques when comparing them with the open approach in terms of superior FJV. Hence, the objective of this systematic review and metaanalysis was to compare the rates of superior FJV between the open method and the different MI techniques: fluoroscopybased; 3D-image navigation with 3D C-arm, O-arm, CT scan guidance; and navigation with robotic assistance.

2. METHODS

2.1. Protocol and registration

This review study was designed following A MeaSurement Tool to Assess systematic Reviews 2 (AMSTAR 2)³⁰ and Preferred Reporting Items for Systematic review and Meta-Analysis Protocols (PRISMA-P).³¹ The protocol is available at PROSPERO (https://www.crd.york.ac.uk/PROSPERO/),³² registration number CRD42020212333. The writing of the present article was done according to PRISMA statements.³³

2.2. Search strategy

Two authors independently searched PubMed, EMBASE, the Cochrane Library, Web of Science, and Clinicaltrial.gov. The search was performed on September 30, 2021, with no timeframe restrictions. Only English-language published original articles were retrieved. The search strategy adhered to the recommendations by Aromataris and Riitano.34 The PICO elements were initially constructed (population: spondylolisthesis, spinal fusion; intervention: minimally invasive; comparator: open; outcome: facet joint violation). We extended the query to the associated Medical Subject Headings (MeSH). The MeSH terms included spondylolisthesis, spondylolysis, spondylosis, spinal fusion, arthrodesis, pedicle screws, bone screws, bone nails, minimally invasive surgical procedures, and zygapophyseal joint. Entries of MeSH terms in PubMed were finally added to the query. The Boolean operator "OR" was used to connect the components in each PICO element and "AND" was used to link the PICO elements. The detailed steps are provided in the Supplementary Data (http://links.lww.com/ ICMA/A159).

2.3. Study selection

The eligibility criteria included (1) original clinical comparative studies, (2) studies comparing the rates of FJV between MI and open pedicle screw placement in lumbar fusion surgery for patients with lumbar degenerative diseases, (3) studies reporting the number of pedicle screws and FJVs in both groups, (4) studies describing in detail the surgical techniques in both groups, and (5) studies in which CT was used in defining FJV. Two authors independently screened the article titles and abstracts using Rayyan (https://rayyan.qcri.org/).³⁵ The full texts of articles which potentially met the eligibility criteria were independently reviewed by the two authors.

2.4. Quality assessment

Two authors independently evaluated the quality of the included studies with the modified Jadad score for randomized controlled trials³⁶ and the Newcastle-Ottawa Quality score Assessment Scale (NOS) (http://www.ohri.ca/programs/clinical_epidemiol-ogy/oxford.asp) for comparative observational studies.

2.5. Data extraction

Two authors independently extracted the data. The outcomes of interest were the rates of FJV in MI and open pedicle screw placement. The following data were also extracted: (1) author names, (2) publication year, (3) study design, (4) operation period, (5) operation country, (6) surgical fusion levels, (7) surgical techniques, (8) distribution of age, gender, and body mass index (BMI) of patients, (9) FJV grading criteria, and (10) pathologies of patients. Top-level pedicle screws and associated superior FJVs were noted as described in the article. We categorized surgical techniques into fluoroscopy-based, 3D-image navigation, and navigation with robotic assistance. We defined 3D-image navigation as guidance with 3D C-arm, O-arm, or CT scan for screw insertion.

2.6. Statistical analysis

FJV was treated as a binary variable. FJV grades other than grade 0 were considered "yes" if FJV was classified into more than two grades in the study. We focused on top-level pedicle screws and associated superior FJVs in the main analyses. Analyses including all identified pedicle screws and FJVs are provided in the Supplementary Data (http://links.lww.com/JCMA/A159). Risk ratio (RR) and 95% confidence interval (CI) were calculated and RR < 1 indicated a protective effect against FJV of the MI approach. A random effects model with DerSimonian-Laird estimator was used to estimate the overall RR to account for inconsistency between the individual studies.³⁷ The point estimate of heterogeneity magnitude, the τ^2 statistic, was reported.³⁸ We assessed the proportion of total variability attributed to heterogeneity with the I^2 statistic. $I^2 > 50\%$ and >75% were considered to represent moderate and high heterogeneity, respectively.³⁹

To examine whether improvements in the MI approach reduced the FJV rate, subgroup analysis was performed based on the MI technique adopted in the study: fluoroscopy-based, 3D-image navigation, or navigation with robotic assistance. If two MI techniques were adopted in a single study, the two groups of patients were separately compared with the open group in each subgroup analysis. If the study used a multigrade classification, sensitivity analysis was performed based on the different definitions of FJV. Publication bias was graphically evaluated using funnel plots and the trim-and-fill method was applied if publication bias existed. All tests were two-tailed, and p < 0.05 was considered significantly different. All statistical analyses and plot drawing were performed with the "metaphor" package⁴⁰ in the R environment (www.R-project.org/).⁴¹

3. RESULTS

3.1. Literature search

Fig. 1 shows the detailed selection process. We initially found 247 nonduplicate articles. After screening the titles and abstracts, 17 remained.¹¹⁻²⁷ Of these 17 articles, one was excluded due to a lack of description of the exact number of FJV cases.¹¹ Among the 16 eligible studies, 13 reported superior FJVs in the text. A sample size of 2655 patients and 6389 pedicle screws (4638 top-level pedicle screws in 2323 patients) were included in the overall meta-analysis.

3.2. Characteristics of selected studies

Table 1 shows the selected characteristics of the 16 included studies. All extracted data are described in the Supplementary Data (http://links.lww.com/JCMA/A159). Only one study was a randomized control trial.¹⁷ All studies used CT to assess FJV, while Archavlis et al additionally used the C-OnSite technique. Three studies adopted two types of MI techniques.^{14,18,19} Seven studies enrolled only patients undergoing one-level lumbar fusion surgery.^{13,18,19,23,25-27} Yson et al did not describe the demographic data of patients.¹⁵ Multiple FJV grading criteria were used. Six studies used the method described by Babu et al,^{12,16,19,21,23,26} four studies used Seo's classification,^{15,18,22,24} and the remaining six studies ()

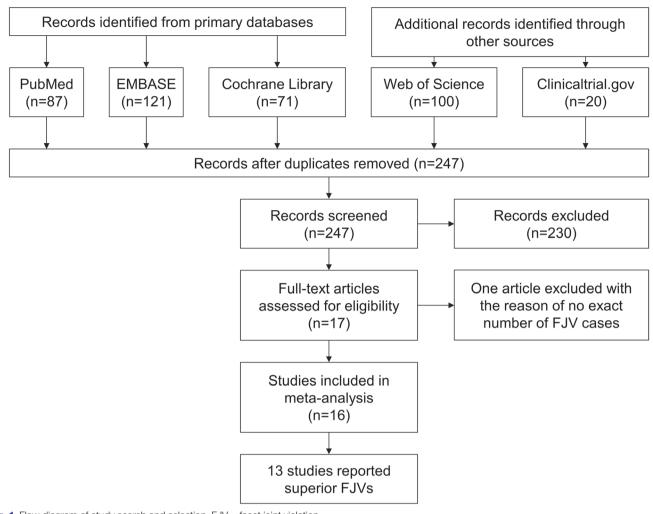


Fig. 1 Flow diagram of study search and selection. FJV = facet joint violation.

treated FJV as a binary variable. 13,14,17,20,25,27 The earliest four studies were included in the previous review by Wang et al. $^{12-15,28}$

3.3. Quality assessment

The overall quality of the 15 comparative observational studies was good (Supplementary Data, http://links.lww.com/JCMA/ A159). The NOS score ranged from 6 to 9, of a maximum of 9 points. Eleven studies lost one to two stars due to a lack of description of how they controlled for confounders.^{13–16,18,19,21–24,26} Five studies further lost one star because they did not mention how many patients meeting the inclusion criteria received postoperative CT scan^{12,13,23,25,26} and the study by Wu et al lost one star since more than 15% of subjects were lost to follow-up. The one randomized controlled trial got 6.5 out of 8 points using the modified Jadad score because it was single-blinded.¹⁷

3.4. Meta-analysis

The overall rates of superior FJV were 19.7% (461/2337) and 19.6% (451/2,301) in the MI and open groups, respectively. The pooled analysis showed that there was no significant difference in superior FJV rates between the two groups (RR: 0.89, 95% CI: 0.62-1.28) using the random effects model (Fig. 2). However, significant heterogeneity was found with $I^2 = 85.5\%$ (p < 0.001). Among 13 studies reporting superior FJVs, five described an increased FJV risk associated with the MI surgery (Fig. 2), and all five studies adopted the fluoroscopy-based technique in the MI group (Table 1).

www.ejcma.org

In contrast, among four studies showing a reduced risk of superior FJV associated with the MI surgery, none adopted the fluoroscopybased technique in the MI group (Table 1). The analyses incorporating the fixed effects model and all 16 studies showed similar results (Supplementary Data, http://links.lww.com/JCMA/A159).

3.5. Subgroup analysis

Because of the significant statistical heterogeneity and the diverse MI techniques used in the studies, subgroup analysis was performed. Among 13 studies reporting superior FJV, nine adopted fluoroscopy-based techniques in the MI group, five adopted 3D-image navigation, and two adopted navigation with robotic assistance (Fig. 3). Lau et al, Wu et al, and Archavlis et al adopted two kinds of techniques in the MI group.^{14,18,19} The overall rates of superior FJV were 27.1% (411/1,518) for fluoroscopy-based, 7.1% (43/603) for 3D-image navigation, and 3.2% (7/216) for robotic assistance.

The pooled RR of superior FJV for the fluoroscopy-based MI technique was 1.53 (95% CI: 1.19-1.96). Only one of nine studies reported a nonsignificantly reduced risk in the MI group, in which two surgeons performed different numbers of MI and open surgeries and the patients in the open group had higher BMI.¹⁴ The pooled RR of superior FJV for the 3D-image navigation technique was 0.41 (95% CI: 0.22-0.75). Lau et al used O-arm navigation and Huang et al used C-arm navigation rather than CT navigation adopted by the other three studies.^{14,25} The

115

۲

Liu et al.

J Chin Med Assoc

Table 1

Selected Characteristics of the Included Studies

Study	Operation period	Surgical technique in MI group	Surgical technique in ope group	
Babu et al ¹²	2007-2011	Fluoroscopy	NA	
ones-Quaidoo et al13	NA	Fluoroscopy	Freehand	
au et al ¹⁴	2006-2011	Group 1: 0-arm navigation;	Fluoroscopy	
		Group 2: Fluoroscopy	(acrossop)	
son et al ¹⁵	2006-2011	CT navigation	CT navigation	
ian et al ¹⁶	2003-2014	CT navigation	CT navigation	
		5	•	
yun et al ¹⁷	2013-2015	Robotic assistance	Fluoroscopy	
/u et al ¹⁸	2010-2012	Group1: Fluoroscopy; Group 2: CT navigation	Freehand	
rchavlis et al ¹⁹	2012-2016 ^a	Group 1: Robotic assistance; Group 2: Fluoroscopy	Fluoroscopy	
eles et al ²⁰	2009-2016	Fluoroscopy	Fluoroscopy	
hang et al ²¹	2016-2018	Robotic assistance	Fluoroscopy	
lurata et al ²²	2010-2010	Fluoroscopy (coaxial view)	Fluoroscopy (lateralview)	
atel et al ²³	2014-2018	Fluoroscopy	Freehand	
o et al ²⁴	2016-2017	Fluoroscopy	Freehand ^b	
uang et al ²⁵	2016-2019	C-arm navigation	Fluoroscopy	
laharjan et al² ⁶	2013-2016	Fluoroscopy	Fluoroscopy	
limura et al ²⁷	2001-2014	Fluoroscopy	NA	
tudy	FJV evaluated by	FJV assessing Instrument	FJV Grading Criteria	
abu et al ¹²	Two observers	CT	Babu's method	
ones-Quaidoo et al ¹³	Three spine surgeons	CT	Intra- vs extra- articular	
au et al ¹⁴	NA	CT	Intra- vs extra- articular	
son et al ¹⁵	A spine surgeon, a spine research fellow, an orthopedic resident	CT	Seo's method	
an et al ¹⁶	Three observers	CT	Babu's method	
yun et al ¹⁷	A spine surgeon	CT	No description	
/u et al ¹⁸	· · · · · · · · · · · · · · · · · · ·	CT	Seo's method	
	Two surgeons			
rchavlis et al19	A spine surgeon	CT/C-OnSite	Babu's method	
eles et al ²⁰	Two observers	CT	Intra- vs extra- articular	
hang et al ²¹	A spine surgeon, a radiologist	CT	Babu's method	
lurata et al ²²	Two observers	CT	Seo's method	
atel et al ²³	Two observers	CT	Babu's method	
o et al ²⁴	Three observers	CT	Seo's method	
uang et al ²⁵	A spine surgeon	CT	Intra- vs extra- articular	
laharjan et al ²⁶	NA	CT	Babu's method	
limura et al ²⁷	NA	CT	Intra- vs extra- articular	
tudy	FJV % in MI group (no. of FJV/pedicle screws)	FJV % in open group (no. of FJV/pedicle screws)	Appraise ^c	
abu et al ¹²	40.2 (123/306) (top)	34.1 (86/252) (top)	8/9	
ones-Quaidoo et al13	13.6 (36/264) (all);	6.1 (16/263) (all);	7/9	
	12.9 (17/132) (top)	5.3 (7/131) (top)		
au et al14	O-arm: 5.4 (4/74) (top);	4.3 (12/280) (top)	8/9	
	Fluoroscopy: 2.4 (5/210) (top)	1.0 (12/2007 (0p)	0,0	
son et al ¹⁵	4.0 (4/125) (top)	26.5 (65/245) (top)	7/9	
ian et al ¹⁶	3.7 (5/136) (top)		7/9	
		14.9 (22/148) (top)		
yun et al ¹⁷	0.0 (0/130) (all)	0.7 (1/140) (all)	6.5/8	
/u et al ¹⁸	Fluoroscopy: 28.3 (13/46) (top); CT: 10.4 (5/48) (top)	15.4 (16/104) (top)	7/9	
rchavlis et al19	Robot: 2.6 (3/116) (top);	5.6 (8/144) (top)	7/9	
	Fluoroscopy: 21.9 (28/128) (top)			
eles et al ²⁰	21.9 (21/96) (top)	7.2 (12/166) (top)	9/9	
hang et al ²¹	4.0 (4/100) (top)	26.0 (26/100) (top)	7/9	
lurata et al ²²	0.5 (2/394) (all)	1.8 (8/445) (all)	7/9	
atel et al ²³	41.3 (99/240) (top)	30.5 (64/210) (top)	6/9	
o et al ²⁴	4.5 (8/176) (all)	10.4 (21/202) (all)	7/9	
JELAI				
	10.9 (24/220) (top)	25.3 (57/225) (top)	8/9	
luang et al ²⁵ Naharjan et al ²⁶	10.9 (24/220) (top) 38.4 (86/224) (top)	25.3 (57/225) (top) 28.9 (67/232) (top)	8/9 7/9	

^aRobotic assistance: 2015-2016; fluoroscopy: 2013-2014; open: 2010-2012.

^aFluoroscopy if needed. ^cThe Newcastle-Ottawa Quality score Assessment Scale (nine points) is applied to observational studies; the modified Jadad score is applied to randomized controlled trials (eight points). CT = computed tomography; FJV = facet joint violation; MI = minimally invasive.

۲

۲

www.ejcma.org

Original Article. (2022) 86:1

Min	imall	y Invasi	ve Op	ben							
Author, Year	FJV	Total	FJV	Total	Weight					Ri	sk Ratio [95% CI]
Babu, 2012	123	306	86	252	10 %				H - H		1.18 [0.95, 1.47]
Jones-Quaidoo, 2013	17	132	7	131	6.6 %						2.41 [1.03, 5.62]
Lau, 2013	9	284	12	280	6.6 %				÷		0.74 [0.32, 1.73]
Yson, 2013	5	125	65	245	6.4 %		-	-			0.15 [0.06, 0.36]
Tian, 2014	5	136	22	148	6 %	F					0.25 [0.10, 0.63]
Wu, 2017	18	94	16	104	8 %			F			1.24 [0.67, 2.30]
Archavlis, 2018	31	244	8	144	7.1 %						2.29 [1.08, 4.84]
Teles, 2018	21	96	12	166	7.7 %						3.03 [1.56, 5.87]
Zhang, 2019	4	100	26	100	5.7 %			-			0.15 [0.06, 0.42]
Patel, 2020	99	240	64	210	9.9 %				-		1.35 [1.05, 1.74]
Huang, 2021	24	220	57	225	9 %		F				0.43 [0.28, 0.67]
Maharjan, 2021	86	224	67	232	9.9 %						1.33 [1.02, 1.73]
Mimura, 2021	19	136	9	64	7.2 %						0.99 [0.48, 2.07]
	461	2337	451	2301	100%						0.89 [0.62, 1.28]
Random-Effect Model	(Tau ²	= 0.32,			= 12, <i>p</i> <	.001;	l ² = 85.	5%)			•
									İT		
						0.05	0.2	0.5	1 2	5	
						R	lisk Ra	tio (log	g scale	e)	

Fig. 2 Forest plot of all studies with their respective RRs and 95% Cls, numbers of pedicle screws and superior FJVs, and overall RR and statistics of heterogeneity. Cl = confidence interval; FJV = facet joint violation; RR = risk ratio.

sensitivity analysis removing the studies by Lau et al and Huang et al resulted in a reduced RR (0.29, 95% CI: 0.12-0.69) of superior FJV. Analysis of the two studies using robotic assistance in the MI group showed that the overall RR of superior FJV was 0.25 (95% CI: 0.08-0.72) for the MI surgery. The analyses incorporating the fixed effects model and all 16 studies showed similar results (Supplementary Data, http://links.lww.com/ JCMA/A159).

3.6. Sensitivity analysis

Grade I FJV in Babu's classification was defined as "screw in lateral facet but not in facet articulation,"¹² which may not be considered an actual FJV event. We therefore performed sensitivity analysis by alternatively defining only Babu's Grades II and III as FJV. Fewer FJV events were observed, resulting in the larger CIs of the estimated RRs (Supplementary Data, http://links.lww.com/JCMA/A159). The overall RR changed from 1.53 to 1.81 (95% CI: 1.36-2.40) for fluoroscopy-based, from 0.41 to 0.39 (95% CI: 0.19-0.82) for 3D-image navigation, and from 0.25 to 0.29 (95% CI: 0.08-1.08) for navigation with robotic assistance.

3.7. Publication bias

The funnel plots depicted a symmetrical distribution of log RRs of the 13 studies reporting superior FJV, suggesting a low possibility of publication bias (Fig. 4). Only one pseudo-filled study was suggested in the trim-and-fill analysis among the studies adopting 3D-image navigation, resulting in a reduced

www.ejcma.org

RR of superior FJV from 0.41 to 0.33 (95% CI: 0.17-0.64). The funnel plots incorporating all 16 studies, provided in the Supplementary Data (http://links.lww.com/JCMA/A159), show an absence of small negative studies, with the trim-and-fill analysis suggesting four pseudo-filled studies.

4. DISCUSSION

Based on our results, the overall rates of superior FJV were 19.7% (461/2337) and 19.6% (451/2301) in the MI and open groups, respectively. The pooled analysis using the random effects model showed no significant difference in superior FJV rates between the two groups (RR: 0.89, 95% CI: 0.62-1.28). However, significant heterogeneity was found with $I^2 = 85.5\%$ (p < 0.001). Compared with the open approach, the RR was 1.53 (95% CI: 1.19-1.96) for fluoroscopy-based, 0.41 (95% CI: 0.22-0.75) for 3D-image navigation, and 0.25 (95% CI: 0.08-0.72) for navigation with robotic assistance.

ASD is not uncommon following lumbar fusion surgery, with the pooled incidences of 12.1% (95% CI: 8.2-16.0%) and 3.2% (95% CI: 2.5-4.0%) reported at 2-5 and 5-10 years postoperatively.⁴² Although the etiologies for ASD are multifactorial and elusive, several patient- and surgical-related risk factors for ASD have been noted.^{43,44} Among these is superior FJV during pedicle screw insertion.⁴⁵ Superior FJV may alter the load-bearing capability of the motion segment in vitro and ultimately lead to the occurrence of ASD clinically.⁷ Moreover, Levin et al reported that superior FJV was independently associated with a higher reoperation rate at 2 years (15.2%) and 3 years (19.6%)

Liu et al.

	-	y Invasi	-		Waight		Dick Datia 105% C
Author, Year		Total		Total	Weight		Risk Ratio [95% C
tudies Using Fluor	osco	py in i	/II Sur	gery			
Babu, 2012	123	306	86	252	19.2 %	-	H 1.18 [0.95, 1.4 ⁻
Jones-Quaidoo, 2013	17	132	7	131	6.4 %	-	2.41 [1.03, 5.6)
Lau, 2013	5	210	12	280	4.7 %	·=	→ 0.56 [0.20, 1.5
Wu, 2017	13	46	16	104	9.1 %		-∎' 1.84 [0.96, 3.5
Archavlis, 2018	28	128	8	144	7.5 %		► 3.94 [1.86, 8.3
Teles, 2018	21	96	12	166	8.8 %		· 3.03 [1.56, 5.8 [°]
Patel, 2020	99	240	64	210	18.3 %	H	► 1.35 [1.05, 1.74
Maharjan, 2021	86	224	67	232	18.1 %	H	▶ 1.33 [1.02, 1.73
Mimura, 2021	19	136	9	64	7.7 %		0.99 [0.48, 2.0]
	411	1518	281	1583	100%		• 1.53 [1.19, 1.9
Random-Effect Model						$007 \cdot l^2 = 62.3\%$	[, ,
tudies Using 3D Na		,		,	ο, μ		
				<u> </u>			
Lau, 2013	4	74	12	280	15.9 %		1.26 [0.42, 3.8
Yson, 2013	5	125	65	245	19.4 %	·	0.15 [0.06, 0.3
Tian, 2014	5	136	22	148	18.4 %	—	0.25 [0.10, 0.6
Wu, 2017	5	48	16	104	18.4 %	·	→ 0.68 [0.26, 1.74
Huang, 2021	24	220	57	225	27.9 %	⊢ ∎→	0.43 [0.28, 0.6
	43	603	172	1002	100%		0.41 [0.22, 0.7
Random-Effect Model	(Tau ²	² = 0.30,	Q = 11	.15, df	= 4, <i>p</i> = 0	.025; I ² = 64.1%)	
tudies Using Robo	tic A	ssistar	nce in	MI Su	rgery		
Archavlis, 2018	3	116	8	144	42.9 %		- 0.47 [0.13, 1.7]
Archavlis, 2018	3 4	116 100	8 26	144 100	42.9 % 57.1 %		-
							→ 0.47 [0.13, 1.7; 0.15 [0.06, 0.4; 0.25 [0.08, 0.7;
Archavlis, 2018	4	100 216	26 34	100 244	57.1 % 100%	189; 1 ² = 42.0%)	0.15 [0.06, 0.4

Risk Ratio (log scale)

Fig. 3 Forest plot of subgroup analysis by different minimally invasive techniques with their respective RRs and 95% Cls, number of pedicle screws and superior FJVs, and overall RR and statistics of heterogeneity. Cl = confidence interval; FJV = facet joint violation; MI = minimally invasive; RR = risk ratio.

postoperatively, as well as diminished improvement in quality of life.¹⁰ During lumbar fusion surgery, surgeons should avoid superior FJV to avoid ASD and improve the subsequent long-term quality of life of patients.

Among the clinical papers included for meta-analysis, different criteria for accessing superior FJV were adopted, such as Babu's and Seo's classifications. The different classifications for FJV may have contributed to the heterogeneity in the statistical results. Babu's classification is widely accepted and can enable spine surgeons to easily distinguish between different gradings in the axial view of the CT scan.¹² However, Grade I FJV in Babu's classification, defined as "screw in lateral facet but not in facet articulation," may not be an actual FJV event. Accordingly, we conducted sensitivity analysis by defining only Grades II and III as FJV and observed similar results.

Ð

www.ejcma.org

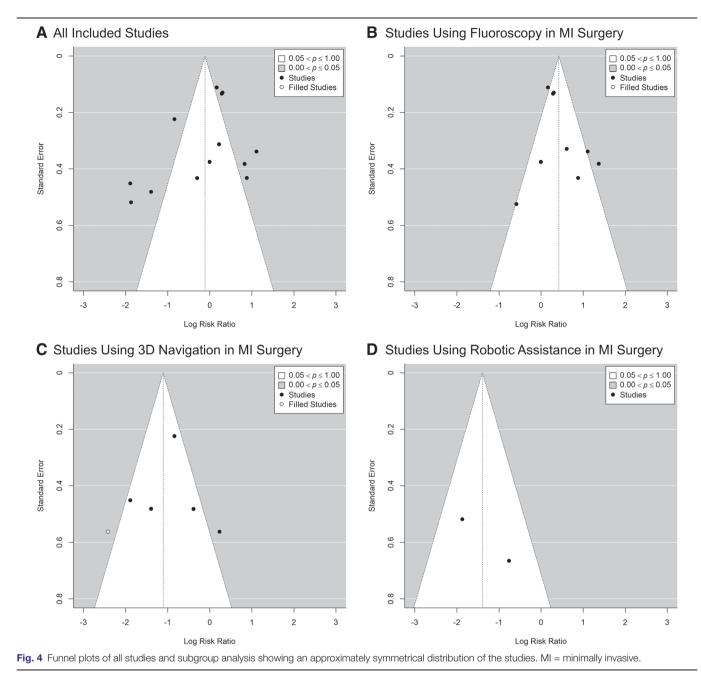
CA9_V86N1_Text.indb 118

28-Dec-22 14:24:30

۲

Original Article. (2022) 86:1

J Chin Med Assoc



Wang et al reported similar superior FJV rates between MI and open lumbar fusion surgeries in cases recruited from 2006 to 2011.²⁸ However, innovative technologies and techniques have revolutionized MI spine surgery within the past decade. In the clinical setting of MI surgery, three possible methods are used for pedicle screw insertion in lumbar fusion surgery: fluoroscopy-based; 3D-image navigation with 3D C-arm, O-arm, or CT scan guidance; and navigation with robotic assistance, based on different surgeons' preferences or the methods in use at the medical facility. The introduction of navigation has greatly affected spine surgery and will continue to make surgeries safer and more efficient.²⁹

In our meta-analysis, navigation with robotic assistance best avoided superior FJV as compared with the open approach. In the clinical setting, surgeons use a T-shaped handle with navigation sensors guided by intraoperative real-time 3D images and use a drill to make the initial trajectory, then place the guide wires for percutaneous pedicle screw insertion regardless of the type of 3D-image navigation used. However, surgeons still need to hold the T-shaped handle tightly without any movement to create the optimal entrance point and screw trajectory. Soft tissue must be traversed to place the guided wires and screws, and any tiny movement at the skin layer can create great bias at the docking site. However, in the use of navigation with robotic assistance, robotic arms will follow the direction and trajectory of the surgeons' design based on real-time intraoperative 3D images and help to avoid the errors of manual control at the skin layer and the subsequent huge bias at the docking site. Obese patients have a thicker subcutaneous fat layer and may potentially have a greater risk for superior FJV in the MI surgery with fluoroscopy or 3D-image navigation, as reported by Lau et al.¹⁴ However, the much greater cost of navigation with robotic assistance is a significant obstacle to its greater use.^{29,46}

The possible risk factors associated with superior FJV are more depth of the spine,^{12,23} higher BMI,^{14,23,26} more caudal

119

28-Dec-22 14:24:30

۲

Liu et al.

lumbar level from L2 to L5,^{15,16} greater facet angle,^{20,23} and larger pedicle screw angle.²⁵ More tissue needs to be traversed to place the guided wires and percutaneous screw in patients with obesity, high BMI, or a thick layer of subcutaneous fat. Any movement at the skin layer will increase the displacement of the entry point and subsequently lead to an incorrect trajectory.

Different lumbar levels have unique anatomic characteristics with different facet joint angles and transverse intertangential angles,⁴⁷ adding to the complexity of the operation. Surgeons must take into account these potential risk factors to avoid superior FJV.

Several limitations existed in the study. Although we performed subgroup analysis by different MI methods to account for the influence of the diverse techniques, moderate heterogeneity still presents. First, the classifications of FIV were heterogeneous and might therefore have introduced some bias. Second, different spine surgery teams in different countries may employ different clinical techniques, which could potentially bias the results. Different races, indications, and demographic characteristics among the patient populations in the included studies could also have contributed to the statistical heterogeneity. The control of the comparison was the pedicle screw insertion in the open method with freehand,^{13,18,23,24} fluoroscopy-based,^{14,17,19-22,25,26} or CT scan navigation.15,16 The comparator was not consistent and could also be an origin of the statistical heterogeneity. Moreover, the present study includes only two articles reporting limited subjects undergoing navigation with robotic assistance, and more studies are needed to draw a reliable conclusion. Finally, the fact that this is a pure radiology-based analysis without further analyzing patients' reported outcomes and related ASD was another weakness.

In conclusion, superior FJV is not uncommon following pedicle screw insertion in the treatment of degenerative lumbar diseases. The three common MI techniques for pedicle screw insertion had different RRs of superior FJV as compared with the open method. Fluoroscopy-based techniques can be associated with a higher risk of superior FJV, while both 3D-image navigation and navigation with robotic assistance may be associated with lower risks. Considering the limited sample size and other limitations of the study, more large-scale prospective randomized controlled trials are needed to prove these clinical findings.

APPENDIX A. SUPPLEMENTARY DATA

Supplementary data related to this article can be found at http://links.lww.com/JCMA/A159.

REFERENCES

- 1. Ravindra VM, Senglaub SS, Rattani A, Dewan MC, Härtl R, Bisson E, et al. Degenerative lumbar spine disease: estimating global incidence and worldwide volume. *Global Spine J* 2018;8:784–94.
- Khoo LT, Palmer S, Laich DT, Fessler RG. Minimally invasive percutaneous posterior lumbar interbody fusion. *Neurosurgery* 2002;51(5 Suppl):S166–81.
- 3. Park Y, Ha JW. Comparison of one-level posterior lumbar interbody fusion performed with a minimally invasive approach or a traditional open approach. *Spine (Phila Pa 1976)* 2007;**32**:537–43.
- von Jako R, Finn MA, Yonemura KS, Araghi A, Khoo LT, Carrino JA, et al. Minimally invasive percutaneous transpedicular screw fixation: increased accuracy and reduced radiation exposure by means of a novel electromagnetic navigation system. *Acta Neurochir (Wien)* 2011;153:589–96.
- 5. Momin AA, Steinmetz MP. Evolution of minimally invasive lumbar spine surgery. *World Neurosurg* 2020;140:622-6.
- Kim HJ, Chun HJ, Kang KT, Moon SH, Kim HS, Park JO, et al. The biomechanical effect of pedicle screws' insertion angle and position on the superior adjacent segment in 1 segment lumbar fusion. *Spine (Phila Pa* 1976) 2012;37:1637–44.

- Cardoso MJ, Dmitriev AE, Helgeson M, Lehman RA, Kuklo TR, Rosner MK. Does superior-segment facet violation or laminectomy destabilize the adjacent level in lumbar transpedicular fixation? An in vitro human cadaveric assessment. *Spine (Phila Pa 1976)* 2008;33:2868–73.
- Aota Y, Kumano K, Hirabayashi S. Postfusion instability at the adjacent segments after rigid pedicle screw fixation for degenerative lumbar spinal disorders. J Spinal Disord 1995;8:464–73.
- Park P, Foley KT. Minimally invasive transforaminal lumbar interbody fusion with reduction of spondylolisthesis: technique and outcomes after a minimum of 2 years' follow-up. *Neurosurg Focus* 2008;25:E16.
- Levin JM, Alentado VJ, Healy AT, Steinmetz MP, Benzel EC, Mroz TE. Superior segment facet joint violation during instrumented lumbar fusion is associated with higher reoperation rates and diminished improvement in quality of life. *Clin Spine Surg* 2018;31:E36–41.
- Chapman TM, Blizzard DJ, Brown CR. CT accuracy of percutaneous versus open pedicle screw techniques: a series of 1609 screws. *Eur Spine* J 2016;25:1781–6.
- Babu R, Park JG, Mehta AI, Shan T, Grossi PM, Brown CR, et al. Comparison of superior-level facet joint violations during open and percutaneous pedicle screw placement. *Neurosurgery* 2012;71:962–70.
- Jones-Quaidoo SM, Djurasovic M, Owens RK 2nd, Carreon LY. Superior articulating facet violation: percutaneous versus open techniques. J Neurosurg Spine 2013;18:593–7.
- 14. Lau D, Terman SW, Patel R, La Marca F, Park P. Incidence of and risk factors for superior facet violation in minimally invasive versus open pedicle screw placement during transforaminal lumbar interbody fusion: a comparative analysis. J Neurosurg Spine 2013;18:356–61.
- Yson SC, Sembrano JN, Sanders PC, Santos ER, Ledonio CG, Polly DW Jr. Comparison of cranial facet joint violation rates between open and percutaneous pedicle screw placement using intraoperative 3-D CT (O-arm) computer navigation. *Spine (Phila Pa 1976)* 2013;38:E251–8.
- Tian W, Xu Y, Liu B, Liu Y, He D, Yuan Q, et al. Lumbar spine superiorlevel facet joint violations: percutaneous versus open pedicle screw insertion using intraoperative 3-dimensional computer-assisted navigation. *Chin Med J (Engl)* 2014;127:3852–6.
- Hyun SJ, Kim KJ, Jahng TA, Kim HJ. Minimally invasive robotic versus open fluoroscopic-guided spinal instrumented fusions: a randomized controlled trial. *Spine (Phila Pa 1976)* 2017;42:353–8.
- Wu MH, Dubey NK, Li YY, Lee CY, Cheng CC, Shi CS, et al. Comparison of minimally invasive spine surgery using intraoperative computed tomography integrated navigation, fluoroscopy, and conventional open surgery for lumbar spondylolisthesis: a prospective registry-based cohort study. *Spine J* 2017;17:1082–90.
- Archavlis E, Amr N, Kantelhardt SR, Giese A. Rates of upper facet joint violation in minimally invasive percutaneous and open instrumentation: a comparative cohort study of different insertion techniques. J Neurol Surg A Cent Eur Neurosurg 2018;79:1–8.
- Teles AR, Paci M, Gutman G, Abduljabbar FH, Ouellet JA, Weber MH, et al. Anatomical and technical factors associated with superior facet joint violation in lumbar fusion. *J Neurosurg Spine* 2018;28:173–80.
- Zhang Q, Xu YF, Tian W, Le XF, Liu B, Liu YJ, et al. Comparison of superior-level facet joint violations between robot-assisted percutaneous pedicle screw placement and conventional open fluoroscopic-guided pedicle screw placement. Orthop Surg 2019;11:850–6.
- 22. Murata K, Fujibayashi S, Otsuki B, Shimizu T, Masamoto K, Matsuda S. Accuracy of fluoroscopic guidance with the coaxial view of the pedicle for percutaneous insertion of lumbar pedicle screws and risk factors for pedicle breach. J Neurosurg Spine 2020:1–8.
- Patel JY, Kundnani VG, Merchant ZI, Jain S, Kire N. Superior facet joint violations in single level minimally invasive and open transforaminal lumbar interbody fusion: a comparative study. *Asian Spine J* 2020;14:25–32.
- To CY, Cheung P, Ng W, Mok WY. Comparison of facet joint violation in lumbar posterior spinal instrumentation using different techniques including cortical bone trajectory. J Orthop Trauma Rehabil 2020;27:57–62.
- 25. Huang CP, Lin HH, Yao YC, Lu Y, Liu PC, Chang MC, et al. Incidences and risk factors of screw-related superior facet articular surface violation at L4 and L5 levels in transforaminal lumbar interbody fusion: open surgery versus minimally invasive techniques. *Spine (Phila Pa 1976)* 2021;46:E1283–91.
- 26. Maharjan S, Zeng Z, Yu Y, Cheng L. A retrospective analysis of superior facet joint violation between open and minimally invasive transforaminal

www.ejcma.org

()

Original Article. (2022) 86:1

J Chin Med Assoc

lumbar interbody fusion and its relation to adjacent segment disease. *Clin Spine Surg* 2021;34:E256–63.

- Mimura T, Tsutsumimoto T, Yui M, Takahashi J, Kuraishi S, Misawa H. Adjacent segment pathology following posterior lumbar interbody fusion for lumbar degenerative spondylolisthesis: a comparison between minimally invasive and conventional open approach. *Spine J* 2021;21:1297–302.
- Wang L, Wang Y, Yu B, Li Z, Li Y. Comparison of cranial facet joint violation rate between percutaneous and open pedicle screw placement: a systematic review and meta-analysis. *Medicine (Baltimore)* 2015;94:e504.
- 29. Hussain I, Cosar M, Kirnaz S, Schmidt FA, Wipplinger C, Wong T, et al. Evolving navigation, robotics, and augmented reality in minimally invasive spine surgery. *Global Spine J* 2020;**10**(2 Suppl):22S–33S.
- 30. Shea BJ, Reeves BC, Wells G, Thuku M, Hamel C, Moran J, et al. AMSTAR 2: a critical appraisal tool for systematic reviews that include randomised or non-randomised studies of healthcare interventions, or both. *BMJ* 2017;358:j4008.
- 31. Moher D, Shamseer L, Clarke M, Ghersi D, Liberati A, Petticrew M, et al; PRISMA-P Group. Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015 statement. *Syst Rev* 2015;4:1.
- 32. Schiavo JH. PROSPERO: an international register of systematic review protocols. *Med Ref Serv Q* 2019;38:171–80.
- Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. *BMJ* 2021;372:n71.
- Aromataris E, Riitano D. Constructing a search strategy and searching for evidence. A guide to the literature search for a systematic review. *Am J Nurs* 2014;114:49–56.
- 35. Ouzzani M, Hammady H, Fedorowicz Z, Elmagarmid A. Rayyan-a web and mobile app for systematic reviews. *Syst Rev* 2016;5:210.

- 36. Jadad AR, Moore RA, Carroll D, Jenkinson C, Reynolds DJ, Gavaghan DJ, et al. Assessing the quality of reports of randomized clinical trials: is blinding necessary? *Control Clin Trials* 1996;17:1–12.
- 37. DerSimonian R, Laird N. Meta-analysis in clinical trials revisited. Contemp Clin Trials 2015;45(Pt A):139-45.
- Veroniki AA, Jackson D, Viechtbauer W, Bender R, Bowden J, Knapp G, et al. Methods to estimate the between-study variance and its uncertainty in meta-analysis. *Res Synth Methods* 2016;7:55–79.
- Higgins JP, Thompson SG, Deeks JJ, Altman DG. Measuring inconsistency in meta-analyses. BMJ 2003;327:557–60.
- Viechtbauer W. Conducting meta-analyses in r with the metafor package. J Stat Soft 2010;36:1–48.
- 41. Team RC. *R: a language and environment for statistical computing*. Vienna, Austria: R Foundation for Statistical Computing; 2020.
- Hashimoto K, Aizawa T, Kanno H, Itoi E. Adjacent segment degeneration after fusion spinal surgery-a systematic review. *Int Orthop* 2019;43:987–93.
- Ghiselli G, Wang JC, Bhatia NN, Hsu WK, Dawson EG. Adjacent segment degeneration in the lumbar spine. J Bone Joint Surg Am 2004;86:1497–503.
- Radcliff KE, Kepler CK, Jakoi A, Sidhu GS, Rihn J, Vaccaro AR, et al. Adjacent segment disease in the lumbar spine following different treatment interventions. *Spine J* 2013;13:1339–49.
- Park P, Garton HJ, Gala VC, Hoff JT, McGillicuddy JE. Adjacent segment disease after lumbar or lumbosacral fusion: review of the literature. *Spine (Phila Pa 1976)* 2004;29:1938–44.
- Vo CD, Jiang B, Azad TD, Crawford NR, Bydon A, Theodore N. Robotic spine surgery: current state in minimally invasive surgery. *Global Spine J* 2020;10(2 Suppl):34S–40S.
- 47. Lin HH, Wang JP, Lin CL, Yao YC, Wang ST, Chang MC, et al. What is the difference in morphologic features of the lumbar vertebrae between Caucasian and Taiwanese subjects? A CT-based study: implications of pedicle screw placement via Roy-Camille or Weinstein method. BMC Musculoskelet Disord 2019;20:252.